

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q78376

Chiaki SOTOWA, et al

Appln. No.: National Stage of PCT/JP2004/016482

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: April 28, 2006

Examiner: Unknown

For: CARBON MATERIAL FOR BATTERY ELECTRODE AND PRODUCTION METHOD  
AND USE THEREOF

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

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filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of an English-language International Search Report from the International Searching Authority in the International Application citing such documents, including at least that portion of the International Search Report indicating the degree of relevance found by the International Searching Authority and a copy of an English-language Written Opinion of the International Searching Authority citing and discussing the documents.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations: English language abstracts, submitted herewith, constitute concise statements of relevance for Japanese Patent Application Publication Nos. 11-54123, 2003-173778, 2002-87807, 11-343108, 2004-79344, 2004-210634, 11-154513, 2004-220926, and 11-310405. Furthermore JP 11-310405 A is cited and discussed in the present specification.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Receipt date: 04/28/2006

**10/577849**  
**IAP12 Rec'd PCT/PTO 28 APR 2006**

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The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

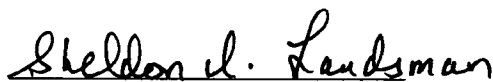
Respectfully submitted,

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WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

  
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Date: April 28, 2006

Substitute for Form 1449 A & B/PTO  <b><u>INFORMATION DISCLOSURE</u></b> <b><u>STATEMENT BY APPLICANT</u></b>  <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Application Number	National Stage of PCT/JP2004/016482
				Confirmation Number	Unknown
				Filing Date	April 28, 2006
				First Named Inventor	Chiaki SOTOWA
				Art Unit	Unknown
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	Q78376

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 5,721,433	A	02/24/1998	TOKIHIRO KOSAKA
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP	11-54123	A	02/26/1999	MATSUSHITA ELECTRIC IND. CO. LTD.	Abstract
		JP	2003-173778	A	06/20/2003	KAWASAKI STEEL CORP.	Abstract
		JP	2002-87807	A	03/27/2002	HITACHI MAXELL LTD.	Abstract
		JP	11-343108	A	12/14/1999	OSAKA GAS CO. LTD. and SHARP CORP.	Abstract
		JP	2004-79344	A	03/11/2004	TOKAI CARBON CO. LTD.	Abstract
		JP	2004-210634	A	07/29/2004	JFE CHEMICAL CORP.	Abstract
		JP	11-154513	A	06/08/1999	MATSUSHITA ELECTRIC IND. CO. LTD.	Abstract
		JP	2004-220926	A	08/05/2004	MATSUSHITA ELECTRIC IND. CO. LTD.	Abstract
		EP	0 520 667	A1	12/30/1992	SHARP KABUSHIKI KAISHA	
		JP	11-310405	A	11/09/1999	OSAKA GAS CO. LTD. and SHARP CORP.	Abstract

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature	/Guinever Gregorio/	Date Considered	02/09/2009
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.